

RECEIVED
CENTRAL FAX CENTER

AUG 15 2005

"SECOND REQUEST"**TELECOPY**COGNIS CORPORATION
PATENT DEPT.
300 BROOKSIDE AVENUE
AMBLER, PA 19002DATE: August 15, 2005**TO:**Name: OFFICE OF INITIAL EXAMINATION'S
FILING RECEIPT CORRECTIONS**FROM:**Name: Rose Stowe
For: Aaron R. Ettelman, Esq.
Patent DepartmentFAX NO: (703) 746-9195NUMBER OF PAGES 10 INCLUDING THIS COVER PAGE.We are transmitting from facsimile machine (215) 628-1345.If you do not receive all the pages indicated above, please call Rose Stowe at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.

THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

RE: Our Case C 2319 PCT/US (Serial No. 10/501,623)

Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.

"NOTE: THIS REQUEST FOR A CORRECTION OF FILING RECEIPT WAS FAXED TO THE PTO ON 2/9/05, AS YOU CAN SEE BY MY ORIGINAL FACSIMILE COVER SHEET, CONFIRMATION AND RIGHTFAX RECEIPTS WHICH I HAVE ALSO ENCLOSED. PLEASE GIVE THIS SECOND REQUEST EXPEDITED ATTENTION!"

THANK YOU!

Rose Stowe

AUG 15 2005

TELECOPYCOGNIS CORPORATION
PATENT DEPT.
300 BROOKSIDE AVENUE
AMBLER, PA 19002DATE: February 9, 2005

TO:

Name: OFFICE OF INITIAL EXAMINATION'S
FILING RECEIPT CORRECTIONSFAX NO: (703) 746-9195

FROM:

Name: Rose Stowe
For: Aaron R. Ettelman, Esq.
Patent DepartmentNUMBER OF PAGES 7 INCLUDING THIS COVER PAGE.We are transmitting from facsimile machine (215) 628-1345.If you do not receive all the pages indicated above, please call Rose Stowe at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.*****
THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.
*****RE: Our Case C 2319 PCT/US (Serial No. 10/501,623)

Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.

FAXED
2-9-05

Confirmation Report - Memory Send

Page : 001
Date & Time: 02-08-2005 09:41
Line 1 : +215-628-1345
Line 2 :
Machine ID : COGNIS CORP., PATENT DEPT.

Job number : 019
Date : 02-08 08:38
To : 917037468195
Number of pages : 007
Start time : 02-08 09:38
End time : 02-08 09:41
Pages sent : 007
Status : OK

Job number : 019 *** SEND SUCCESSFUL ***

TELECOPY

COGNIS CORPORATION
PATENT DEPT.
300 BROOKSIDE AVENUE
AMBLER, PA 19002

DATE: February 8, 2005

TO:
Name: OFFICE OF INITIAL EXAMINATION'S
FILING RECEIPT CORRECTIONS

FROM:
Name: Ross Stows
For: ABRAHAM E. EISENBERG, Esq.
Patent Department

FAX NO: (703) 746-8195

NUMBER OF PAGES 7 INCLUDING THIS COVER PAGE.

We are transmitting from facsimile machine (215) 628-1345.

If you do not receive all the pages indicated above, please call Ross Stows at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.

THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

RE: Our Case C 2319 PCT/US (Serial No. 10/501,623

Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.

Auto-Reply Facsimile Transmission



TO: Fax Sender at +215 628 1345
Fax Information
Date Received: 2/9/2005 9:38:55 AM [Eastern Standard Time]
Total Pages: 7 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page
=====>

02-09-1003 00:00	F120-COGNIS CORP., PATENT DEPT.	+215-628-1345	T-526 P.004/010 F-010
TELECOPY			
COGNIS CORPORATION PATENT DEPT. 300 BROOKSIDE AVENUE AMBLER, PA 19002			
DATE: February 9, 2005			
TO: Name: <u>OFFICE OF INITIAL EXAMINATIONS</u> <u>FILING RECEIPT CORRECTIONS</u>	FROM: Name: <u>Rosa Blawie</u> For: <u>Arron R. Euelman, Esq.</u> <u>Patent Department</u>		
FAX NO: <u>(703) 746-9195</u>			
NUMBER OF PAGES <u>7</u> INCLUDING THIS COVER PAGE.			
We are transmitting from facsimile machine (215) 628-1345.			
If you do not receive all the pages indicated above, please call <u>Rosa Blawie</u> at (215) 628-1011 between 8:00 A.M. and 5:00 P.M.			
<small>THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.</small>			
RE: <u>Our Case C 2319 PCT/US (Serial No. 10/501,623)</u>			
Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.			
PAGE 10 * RCVD AT 02/09/2005 03:53:41 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-51 * DNIS:7469195 * CSID:+215 628 1345 * DURATION (mm-ss):02:54			

RECEIVED
CENTRAL FAX CENTER

AUG 15 2005

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Feb. 9, 2005

Date

Rose A. Stowe

Signature of certifier

Rose A. Stowe

Typed or printed name of certifier

PATENT

Docket No. C 2319 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of
Moussou, et al.

Serial No. 10/501,623

Examiner: Unknown

Filed: 07/15/2004

Art Unit: 1615

TITLE: ACTIVE SUBSTANCES FOR USE IN COSMETIC AND/OR
PHARMACEUTICAL PRODUCTS, OBTAINABLE FROM THE FER-
MENTATION OF PLANT COMPONENTS AND/OR PLANT EXTRACTS

REQUEST FOR CORRECTION OF FILING RECEIPT

Office Of Initial Patent Examinations
Customer Service Center
Commissioner of Patents and Trademarks
P. O. Box 1450
Alexandria, VA 22313-1450

February 9, 2005

Sir:

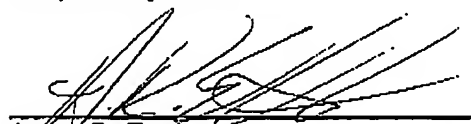
We hereby request correction of the Filing Receipt for Serial No. 10/501,623.

The SECOND INVENTOR'S city of residence in the above-referenced application should be corrected to read as follows:

LOUIS DANOUX, SAULXURES LES NANCY, FRANCE

We thank you for your assistance in this matter.

Respectfully submitted,



Aaron R. Ettelman
(Reg. No. 42,516)
Attorney for Applicant
(215) 628-1413

Cognis Corporation, Patent Dept.
300 Brookside Avenue
Ambler, PA 19002

Enclosures:

- 1) Filing Receipt (copy)
- 2) Executed Declaration (copy)

AKZ/RS

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1430
 Alexandria, Virginia 22313-1430
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,623	07/15/2004	1815	920	C 2319 PCT/US		20	2

CONFIRMATION NO. 2222

23657

COGNIS CORPORATION
 PATENT DEPARTMENT
 300 BROOKSIDE AVENUE
 AMBLER, PA 19002

FILING RECEIPT



OC000000014859819

No Marketing

Date Mailed: 01/03/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

X

l
 Philippe Moussou, Nancy, FRANCE;
 Louis Danoux, Saucures Les Nancy, FRANCE;
 Gilles Pauly, Nancy, FRANCE;

Power of Attorney:

Aaron Ettelman-42516

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/00066 01/07/2003

Foreign Applications

FRANCE 02/00423 01/15/2002

Projected Publication Date: 04/07/2005

Non-Publication Request: No

Early Publication Request: No

RECEIVED COGNIS LAW DEPT.
 ACTION _____ INFO _____

JAN 10 2005 *me*

DUE _____
 FILE C-2319

ENTERED
 CPI DATABASE

Title

Active substances for use in cosmetic and/or pharmaceutical products, obtainable from the fermentation of plant components and/or plant extracts

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

"Express Mail" mailing label number EV400237365US

PTO/SB/01 (8-95)

Approved for use through: 10/31/98 OMB 0651-0032

Type a plus sign (+) inside this box ☐

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0010/PTO Rev. 8/85	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	C 2319 PCT/US
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION		First Named Inventor	MOUSSOU, Philippe
		COMPLETE IF KNOWN	
		Application Number	
		Filing Date	
		Group Art Unit	
<input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing		Examiner Name	

As a below named inventor, I hereby declare that:
 My residence, post office address, and citizenship are as stated below next to my name.
 I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ACTIVE SUBSTANCES FOR USE IN COSMETIC AND/OR PHARMACEUTICAL PRODUCTS,
OBTAINABLE FROM THE FERMENTATION OF PLANT COMPONENTS AND/OR PLANT
EXTRACTS**

(Title of the Invention)

the specification of which
☐ is attached hereto
 OR
☒ was filed on (MM/DD/YYYY) 01/07/2003 as United States Application Number or PCT International

Application Number PCT/EP03/00066 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(e)-(d) or §385(b) of any foreign application(s) for patent or inventor's certificate, or §385(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
02/00423	FR	01/15/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.

Type a plus sign (+) inside this box ☐

C 2319 PCT/US

DECLARATION				Page 2	
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (If applicable)		
	PCT/EP03/00066	01/07/2003			
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.					
As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:					
<input type="checkbox"/> Firm Name Customer Number or label 					
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below:					
Name	Registration Number	Name	Registration Number		
Steven J. Trzaska Aaron R. Ettelman	36,298 42,516				
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.					
Please direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or label 23657 OR <input type="checkbox"/> Fill in correspondence address below					
Name Aaron R. Ettelman					
Address 					
Address 					
City		State		Zip	
Country		Telephone		Fax	
		215-628-1413		215-628-1345	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
Name of Sole or First Inventor: <input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name	Philippe	Middle Initial	Family Name	Moussou	Suffix e.g. Jr.
Inventor's Signature				Date	26 May 2004
Residence: City		State		Country	
Nancy				France	
Post Office Address 14, rue de Marsal					
Post Office Address 					
City		State		Country	
54000 Nancy				France	
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto					

Type a plus sign (+) inside this box ☐

C 2319 PCT/US

Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name	Louis			Middle Initial		Family Name	Danoux			Suffix e.g. Jr.		
Inventor's Signature							Date	26 mai 2004				
Residence: City	Saulxures Les Nancy			State		Country	France			Citizenship	France	
Post Office Address	12, rue de Bretagne											
Post Office Address												
City	54420 Saulxures Les Nancy			State		Zip		Country	France		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name	Gilles			Middle Initial		Family Name	Pauly			Suffix e.g. Jr.		
Inventor's Signature							Date	26 May 04				
Residence: City	Nancy			State		Country	France			Citizenship	France	
Post Office Address	5, rue de Begonias											
Post Office Address												
City	54000 Nancy			State		Zip		Country	France		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.		
Inventor's Signature							Date					
Residence: City				State		Country				Citizenship		
Post Office Address												
Post Office Address												
City				State		Zip		Country			Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.		
Inventor's Signature							Date					
Residence: City				State		Country				Citizenship		
Post Office Address												
Post Office Address												
City				State		Zip		Country			Applicant Authority	

☐ Additional inventors are being named on supplemental sheet(s) attached hereto